Docket No.: 1819/100211

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Mitchell Rosen and Noboru Ohta

Serial No. : 09/972,223

Cnfrm. No.: 4639

Filed

: October 5, 2001

For

: A DATA-EFFICIENT AND SELF-ADAPTING IMAGING SPECTROMETRY METHOD AND APPARATUS THEREOF

TRANSMITTAL LETTER

Commissioner For Patents Washington, D.C. 20231 **Box: Missing Parts**

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(b), which was mailed by the United States Patent and Trademark Office on November 8, 2001, enclosed are:

[X]A Combined Declaration and Power of Attorney.

A Request for Two-Month Extension of Time. [X]

Applicant claims small entity status. (See 37 CFR 1.27.) [X]

A Request for Corrected Filing Receipt and Copy of Official Filing Receipt. []

A copy of the Notice to File Missing Parts. [X]

[X]A self-addressed, prepaid postcard for acknowledging receipt.

Fees as calculated below: [X]

FEE FOR TWO-MONTH EXTENSION OF TIME

\$200.00

SURCHARGE 37 CFR 1.16(e)

\$ 65.00

ADDITIONAL FEES

0.00

TOTAL FEES SUBMITTED HEREWITH

\$265.00

A check in the amount of \$265.00 to cover the above fees. [X]

The Commissioner is hereby authorized to charge any additional fees which may be [X]required, or credit any overpayment, to Deposit Account No. 14-1138. A duplicate copy of this sheet is enclosed.

Date: March 4, 2002

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R564144.1

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Certificate of Mailing - 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on the date below.

34(2002 Date

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United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/972,223

10/05/2001

Mitchell Rosen

1819/100211

Date Mailed: 11/08/2001

CONFIRMATION NO. 4639

FORMALITIES LETTER

OC000000007043792*

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Rochester, NY 14603

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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